



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NEW APPLICATION OF:

Craig Watkins, Jeremey Barrett, Adam Cain, Brian Lichtenwalter, Daniel Myers and Steve Schall

SERIAL NUMBER:

FILED: **Unknown**

FOR: An Apparatus and Method For Implementing Remote Client Integrity Verification

PATENT

ART UNIT NO.:

EXAMINER:

ATTORNEY DOCKET NO.: NC28793



Mountain View, California
June 24, 2003

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on June 24th, 2003 in an envelope as "Express Mail Post Office to Addressee" and addressed to Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231

Ian L. Cartier

Typed or printed name of person mailing paper or fee

Signature of person mailing paper

NEW APPLICATION TRANSMITTAL

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Craig Watkins, Jeremey Barrett, Adam Cain, Brian Lichtenwalter, Daniel Myers and Steve Schall

For: An Apparatus and Method For Implementing Remote Client Integrity Verification

June 24, 2003

1. Type of Application

This new application is for a(n) (check one applicable item below):

- ☒ Original
- ☐ Design
- ☐ Plant
- ☐ Divisional.
- ☐ Continuation.
- ☐ Continuation-in-part (C-I-P).

2. Benefit of Prior U.S. Application(s)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed

- A. Required for filing date under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application.

12 Pages of specification

8 Pages of Claims

3 Sheets of drawing

- ☐ formal
- ☒ informal

- B. Other Papers Enclosed

1 Page of Abstract

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 CFR 1.98)
- ☐ Form PTO-1449
- ☐ Citations

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- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
- ☐ Special Comments
- ☐ Other

5. **Declaration or oath**

- ☐ Enclosed
executed by (check **all** applicable boxes)
 - ☐ Inventor(s).
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of Inventor who refused to sign or cannot be reached.
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See *item 13 below for fee.*
- XX_ Not enclosed.
 - ☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf on *all* the above named inventors
 - ☐ Showing that the filing is authorized 37 CFR 1.41(d)

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ The same
- or
- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
 - ☐ is submitted
 - ☐ will be submitted

7. **Language**

- ☒ English
- ☐ non-English

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_____ the attached translation is a verified translation, 37 CFR 1.52(d)

8. **Assignment**

XX An assignment of the invention to **Nokia, Inc.**

_____ is attached. A separate XX "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or _____ FORM PTO 1595 is also attached.

XX will follow.

9. **Fee Calculation (37 CFR 1.16)**

A. X Regular Application

CLAIMS AS FILED				
Number Filed	Number Extra	Rate	Basic Fee 37 CFR 1.16(a)	
			\$750.00	
Total				
Claims (37 CFR 1.16(c))	48 -20=	18 x	\$18.00	\$324.00
Independent				
Claims (37 CFR 1.16(b))	4- 3=	1 x	\$84.00	\$ 84.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))			\$270.00	

_____ Amendment canceling extra claims enclosed.

_____ Amendment deleting multiple-dependencies enclosed.

_____ Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$ 1158.00

B. _____ Design Application
(\$290.00-37 CFR 1.16(f))
Filing Fee Calculation

\$ _____

C. _____ Plant Application
(\$460.00-37 CFR 1.16(g))
Filing Fee Calculation

\$ _____

10. **Small Entity Statement(s)**

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- ☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) ☐ attached ☐ will follow:
- ☐ Status as a small entity was claim in prior application
_____/_____, filed on _____, from which
benefit is being claimed for this application under:
35 U.S.C. ☐ 119(e),
☐ 120,
☐ 121,
☐ 365(c),
and which status as a small entity is still proper and desired.
- ☐ A copy of the statement in the prior application is included.
- Filing Fee Calculation (50% of A, B or C above) \$.00

11. Request for International-Type Search (37 C.F.R. 1.104(d))

- ☐ Please prepare an international-type search report for this application at the time when the national examination on the merits takes place.

12. Fee Payment Being Made At This Time

- ☐ Not Enclosed
- ☐ No filing fee is to be paid at this time.
(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)
- ☒ Payment is to be taken from Deposit Account
- | | |
|---|------------|
| <input checked="" type="checkbox"/> basic filing fee | \$ 1158.00 |
| <input checked="" type="checkbox"/> recording assignment
(\$40.00; 37 CFR 1.21(h)) | \$ 40.00 |
| Total Fees To Be Withdrawn | \$ 1198.00 |

13. Method of Payment of Fees

Payment is to be taken or withdrawn from Deposit Account: **50-2224 Nokia-IVS**

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14. **Instructions as to Overpayment**

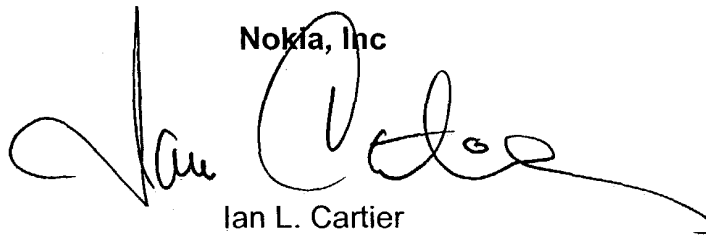
XX Refund overpayment returned to Deposit Account: 50-2224 Nokia-IVS

15. **Statement Where No Further Pages Added**

XX This transmittal ends with this page.

Respectfully submitted,

Nokia, Inc

A handwritten signature in black ink, appearing to read 'Ian L. Cartier', with a long, sweeping horizontal flourish extending to the right.

Ian L. Cartier
Nokia Internet Communication
313 Fairchild Drive
Mountain View, California 94043
Reg. No. 38,406
Tel: (650) 625-2772